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9/4/01

*James C. Behr*  
Attorney of Record



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:  
Serial No.:  
Filed:  
For:

Jacques Drouin, et al.  
09/319,782  
June 10, 1999  
NUR-RE A RESPONSE ELEMENT WHICH BINDS  
NUR NUCLEAR RECEPTORS AND METHOD OF USE  
THEREFOR  
1646  
M. Pak

Group Art Unit:  
Examiner:

Commissioner For Patents  
Washington, D.C. 20231

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SEP 11 2001

TECH CENTER 1600/2900

TRANSMITTAL LETTER AND AMENDMENT

Dear Sir:

In response to a Notice to Comply mailed July 5, 2001,

Applicants respectfully enclose:

1. A CRF copy of the Sequence Listing,
2. A paper copy of the Sequence Listing, and
3. A Statement Under 37 CFR § 1.821(f).

This document shall also serve as an amendment directing that the Sequence Listing be entered into the specification.

Applicants have also submitted a One Month Extension of Time. If further fees are necessary to the enclosed

papers, Applicants direct that the fees be changed to  
Deposit Account 17-0055.

Respectfully submitted,

Jacques Drouin, et al.

September 4, 2001

By: Jean C. Baker

Jean C. Baker  
Reg. No. 35,433  
Quarles & Brady LLP  
411 East Wisconsin Avenue  
Milwaukee, WI 53202-4497  
(414) 277-5709

#Drai  
9/17/01



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Date of Signature 9/4/01  
and Deposit: \_\_\_\_\_

Jean C. Baker  
Attorney of Record



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Jacques Drouin, et al.  
Serial No.: 09/319,782  
Filed: June 10, 1999  
For: NUR-RE A RESPONSE ELEMENT WHICH BINDS  
NUR NUCLEAR RECEPTORS AND METHOD OF USE  
THEREFOR  
Group Art Unit: 1646  
Examiner: M. Pak  
Commissioner For Patents  
Washington, D.C. 20231

REQUEST FOR CHANGE OF ATTORNEY DOCKET NUMBER

Dear Sir:

Applicants direct that the Attorney Docket Number in the above-identified case be changed from 999999.99999 to 480848.90018.

Applicants believe no fees are necessary for this change. If a fee is necessary, please charge Deposit Account 17-0055.

Respectfully submitted,

Jacques Drouin, et al.

By: Jean C. Baker  
Jean C. Baker  
Reg. No. 35,433  
Quarles & Brady LLP  
411 East Wisconsin Avenue  
Milwaukee, WI 53202-4497  
(414) 277-5709

September 4, 2001

MAILED  
DATE CANCELLED  
SEP 16 2001  
O.I.P.E. JCEP

O.I.P.E. JCEP  
SEP 06 2001

Application No.: 09/319182

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING  
NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

- ☒ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990.
- ☒ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- ☒ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☐ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked-up "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- ☐ 7. Other: \_\_\_\_\_

**Applicant Must Provide:**

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- ☒ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

For Rules Interpretation, call (703) 308-4216  
For CRF Submission Help, call (703) 308-4212  
For PatentIn software help, call (703) 308-6856

**PLEASE RETURN A COPY OF THIS NOTICE WITH YOUR RESPONSE**